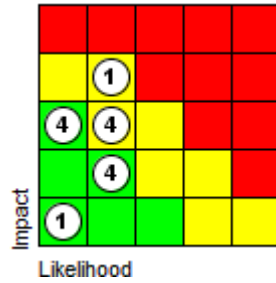


Corporate Risk Register


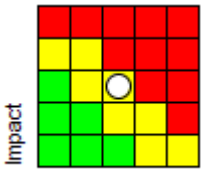


Generated on: 26 January 2012

Corporate Risk Register


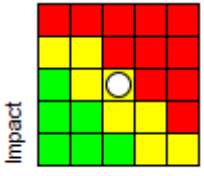

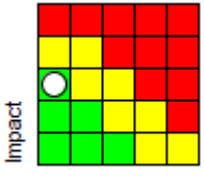
Current Risk Heat Map




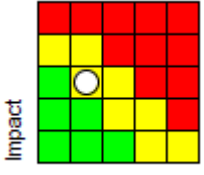
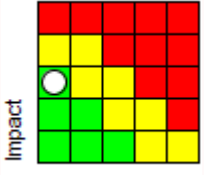

Status	Code	Title	Key										
	CRR 01	Significant Partnerships	<table border="1"> <thead> <tr> <th colspan="2">Risk Status</th> </tr> </thead> <tbody> <tr> <td></td> <td>OK</td> </tr> <tr> <td></td> <td>Warning</td> </tr> <tr> <td></td> <td>Alert</td> </tr> <tr> <td></td> <td>Unknown</td> </tr> </tbody> </table>	Risk Status			OK		Warning		Alert		Unknown
Risk Status													
	OK												
	Warning												
	Alert												
	Unknown												
	CRR 02	Capital Programme											
	CRR 03	Staff Management											
	CRR 04	External Funding											
	CRR 05	Affordable Housing											
	CRR 06	Procurement											
	CRR 07	Health and Safety											
	CRR 08	Emergency Planning and Business Continuity Planning											
	CRR 09	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.											
	CRR 11	Council Assets											
	CRR 12	Customer Expectations											
	CRR 13	Fraud and Corruption											
	CRR 14	Data Quality											
	CRR 15	Delivering Efficiencies											

CRR 01 Significant Partnerships				
Risk Code	Risk Title	Description	Status	
CRR 01	Significant Partnerships	That the Council fails to manage its partnerships effectively		
Consequences		Financial cost to the Council through partnership failure, breach of legislation by partnership with consequences for Council and its reputation, levels of service satisfaction and quality fall below acceptable levels.		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	3
		Medium		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	1
		Medium		Very Low
Target Risk Matrix		Target Rating Description		
	Target Impact	B	Target Likelihood	1
		Minor		Very Low
Latest Progress			Last Review Date	SMT Lead
25-Jan-2012 Management of significant partnerships continues. New approach to reporting on performance of partnership arrangements being developed - see report to O and S 16 February 2012.			24-Sep-2011	Clare Slater


CRR 02 Capital Programme


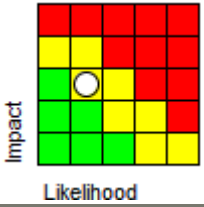
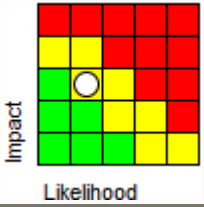
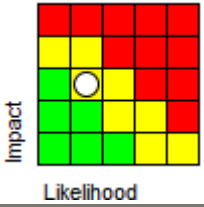
Risk Code	Risk Title	Description	Status	
CRR 02	Capital Programme	Failure to deliver Council priorities, due to poor management of the capital programme.		
Consequences		Failure to deliver the Council priorities		
Original Matrix		Original Rating Description		
		Original Impact	C Medium Original Likelihood	3 Likely
				3
Current Risk Matrix		Current Rating Description		
		Current Impact	C Medium Current Likelihood	1 Very Low
				1
Target Risk Matrix		Target Rating Description		
		Target Impact	C Medium Target Likelihood	1 Very Low
				1
Latest Progress			Last Review Date	SMT Lead
25-Jan-2012 Progress monitoring embedded in the work of Resources Working Party, who receive bi-monthly reports with highlight reporting on individual projects			24-Sep-2011	Trevor Anderson





CRR 03 Staff Management





Risk Code	Risk Title	Description			Status
CRR 03	Staff Management	Failure to effectively manage and develop our workforce assets			
Consequences		Decline in employee performance and delivery			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	2
			Medium		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
25-Jan-2012 Absence statistics are continuing to improve.				29-Sep-2011	Barrie May; Louise Sandall

CRR 04 External Funding


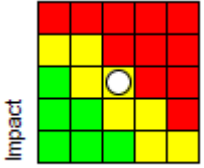


Risk Code	Risk Title	Description	Status	
CRR 04	External Funding	Failure to attract external funding to support the priorities of the Council		
Consequences		Failure to deliver Council priorities requiring major financial investment. Increased costs to RDC. Failure to regenerate the local economy. Uncompetitive service delivery. Withdrawal or failure of a service. Inability to deliver new services		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	3
		Medium		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	2
		Medium		Not Likely
Target Risk Matrix		Target Rating Description		
	Target Impact	C	Target Likelihood	2
		Medium		Not Likely
Latest Progress			Last Review Date	SMT Lead
25-Jan-2012 Strategic approach to new funding opportunities being developed in response to legislation and Community Infrastructure Levy (CIL). Linked to the development of the Local Development Framework.			24-Sep-2011	Trevor Anderson

CRR 05 Affordable Housing				
Risk Code	Risk Title	Description	Status	
CRR 05	Affordable Housing	Failure to meet identified housing need in Ryedale		
Consequences		Homelessness increases with resultant service costs. Unbalanced housing market. Negative impact on the local economy. Lack of key workers to support the needs of the community. Local people forced to move away from Ryedale.		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	2
		Medium		Not Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	2
		Medium		Not Likely
Target Risk Matrix		Target Rating Description		
	Target Impact	C	Target Likelihood	2
		Medium		Not Likely
Latest Progress			Last Review Date	SMT Lead
25-Jan-2012 The expected outturn for affordable homes for 2011/12 is 95.			24-Sep-2011	Gary Housden; Julian Rudd


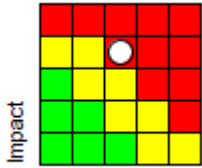
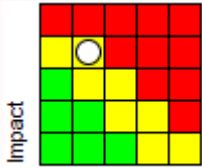
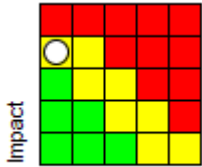
Risk Code	Risk Title	Description	Status
CRR 06	Procurement	Failure to procure in line with legislation and in line with best value principles	
Consequences		Failure to make efficiency savings. Priority projects not delivered to budget. Adverse external inspection. Breach of legislation eg. equalities or health and safety. Damage to RDC reputation.	
Original Matrix		Original Rating Description	
 Likelihood	Original Impact	D	3
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
 Likelihood	Current Impact	C	1
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
 Likelihood	Target Impact	C	2
		Medium	Target Likelihood
Latest Progress			Last Review Date
25-Jan-2012 Procurement Partnership established and the service received by the Council is working well with savings being achieved in line with efficiency targets.			24-Sep-2011
			SMT Lead
			Phil Long

CRR 07 Health and Safety			
Risk Code	Risk Title	Description	Status
CRR 07	Health and Safety	Failure to ensure appropriate systems are in place to manage Health and safety	
Consequences		Failure to meet legislative requirements, prosecution and financial penalties incurred as a result of incident.	
Original Matrix		Original Rating Description	
	Original Impact	C	3
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	B	2
		Minor	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	2
		Minor	Target Likelihood
Latest Progress			Last Review Date
20-Sep-2011 A range of training undertaken with staff eg: Team of Emergency First Aiders trained. Fire Warden Training completed. Work station assessments completed. Corporate Safety Alert System launched using contact management section of EDMS. Loan working and personal safety procedure. Health and Safety JCC meeting regularly Process of updating all policies and procedures thus ensuring they comply with current and relevant legislation.			24-Sep-2011
			SMT Lead
			Barrie May


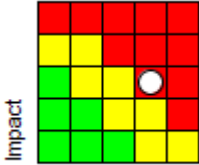


CRR 08 Emergency Planning and Business Continuity Planning

Risk Code	Risk Title	Description	Status								
CRR 08	Emergency Planning and Business Continuity Planning	Failure to produce effective, comprehensive and tested plan.									
Consequences		Failure in continuity of service delivery. Negative impact on the most vulnerable on our communities. Damage to RDC reputation. Financial penalties and litigation									
Original Matrix		Original Rating Description									
		Original Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">C</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: center;">Medium</td> <td style="text-align: center;">Original Likelihood</td> </tr> <tr> <td></td> <td style="text-align: center;">3</td> </tr> <tr> <td></td> <td style="text-align: center;">Likely</td> </tr> </table>	C		Medium	Original Likelihood		3		Likely
C											
Medium	Original Likelihood										
	3										
	Likely										
Current Risk Matrix		Current Rating Description									
		Current Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">B</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: center;">Minor</td> <td style="text-align: center;">Current Likelihood</td> </tr> <tr> <td></td> <td style="text-align: center;">2</td> </tr> <tr> <td></td> <td style="text-align: center;">Not Likely</td> </tr> </table>	B		Minor	Current Likelihood		2		Not Likely
B											
Minor	Current Likelihood										
	2										
	Not Likely										
Target Risk Matrix		Target Rating Description									
		Target Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">B</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: center;">Minor</td> <td style="text-align: center;">Target Likelihood</td> </tr> <tr> <td></td> <td style="text-align: center;">2</td> </tr> <tr> <td></td> <td style="text-align: center;">Not Likely</td> </tr> </table>	B		Minor	Target Likelihood		2		Not Likely
B											
Minor	Target Likelihood										
	2										
	Not Likely										
Latest Progress			Last Review Date								
25-Jan-2012 Emergency planning arrangements in place and tested. Training undertaken for all staff. Comprehensive Business Continuity Planning in place and tested.			24-Sep-2011								
			SMT Lead								
			Paul Cresswell								


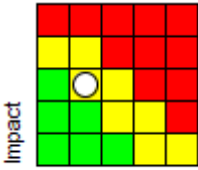

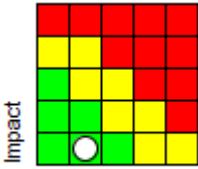
CRR 09 Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.


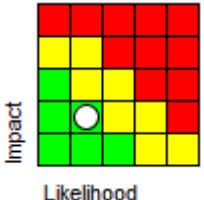
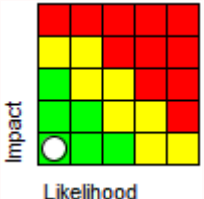
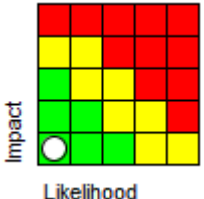
Risk Code	Risk Title	Description	Status
CRR 09	Failure to influence changes to National Framework	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.	
Consequences		Adverse financial effect on the Council which could impact on Ryedale residents or require cuts to RDC services.	
Original Matrix		Original Rating Description	
 Likelihood	Original Impact	D	3
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
 Likelihood	Current Impact	D	2
		Major	Current Likelihood
Target Risk Matrix		Target Rating Description	
 Likelihood	Target Impact	D	1
		Major	Target Likelihood
Latest Progress			Last Review Date
25-Jan-2012 Significant changes are being proposed by the government to materially affect the financing and responsibilities of RDC. Officers working at national level through relevant treasurer societies and networks. Joint discussions underway within North Yorkshire and the region. Responses submitted to all consultations.			23-Sep-2011
			SMT Lead
			Paul Cresswell

CRR 11 Council Assets



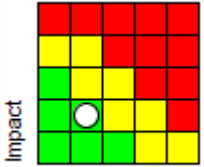

Risk Code	Risk Title	Description			Status
CRR 11	Council Assets	Ensure the Council has proper plan to ensure maintenance and fitness for purpose of the Council assets			
Consequences					
Original Matrix		Original Rating Description			
 <p style="text-align: center;">Likelihood</p>		Original Impact	C	Original Likelihood	4
Current Risk Matrix			Current Rating Description		
 <p style="text-align: center;">Likelihood</p>		Current Impact	C	Current Likelihood	2
Target Risk Matrix			Target Rating Description		
 <p style="text-align: center;">Likelihood</p>		Target Impact	B	Target Likelihood	2
Latest Progress					Last Review Date
19-Jan-2012 Major investment has resulted in improved facilities with significant investment into energy efficiency measures to reduce Co2 emissions			24-Sep-2011	Phil Long	

CRR 12 Customer Expectations


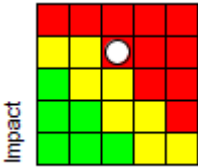
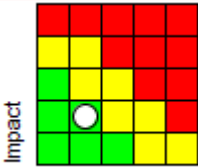
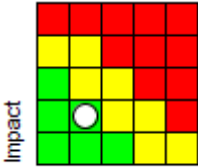
Risk Code	Risk Title	Description	Status
CRR 12	Customer Expectations	Failure to meet customer service standards and meet customer expectations.	
Consequences		Include CR02	
Original Matrix		Original Rating Description	
	Original Impact	C	2
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	2
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	A	2
		Low	Target Likelihood
Latest Progress		Last Review Date	SMT Lead
25-Jan-2012 Managing customer expectations through media and communications in relation to funding challenges facing the public sector.		28-Sep-2011	Paul Cresswell

CRR 13 Fraud and Corruption				
Risk Code	Risk Title	Description	Status	
CRR 13	Fraud and Corruption	Failure to ensure Council has proper procedures and policies for the prevention and detection of fraud.		
Consequences		Financial loss to the Council, damage to our reputation and credibility		
Original Matrix		Original Rating Description		
	Original Impact	B	Original Likelihood	2
		Minor		Not Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	A	Current Likelihood	1
		Low		Very Low
Target Risk Matrix		Target Rating Description		
	Target Impact	A	Target Likelihood	1
		Low		Very Low
Latest Progress			Last Review Date	SMT Lead
23-Sep-2011 Arrangement with Veritau working well to deliver fraud and corruption service for Housing Benefit, currency of fraud and corruption policy maintained and training provided to managers.			24-Sep-2011	Paul Cresswell

CRR 14 Data Quality

Risk Code	Risk Title	Description			Status	
CRR 14	Data Quality	The Council recognises the importance of data quality as we need reliable, accurate and timely performance information with which to manage services, inform users and account for our performance				
Consequences						
Original Matrix			Original Rating Description			
			Original Impact	B	Original Likelihood	2
				Minor		Not Likely
Current Risk Matrix			Current Rating Description			
			Current Impact	B	Current Likelihood	2
				Minor		Not Likely
Target Risk Matrix			Target Rating Description			
			Target Impact	A	Target Likelihood	1
				Low		Very Low
Latest Progress					Last Review Date	SMT Lead
23-Sep-2011 Data Quality Strategy in place and publicised to all staff. Audit of Data Quality undertaken with positive outcome					28-Sep-2011	Clare Slater

CRR 15 Delivering Efficiencies

Risk Code	Risk Title	Description	Status
CRR 15	Delivering Efficiencies	Council fails to meet efficiency targets which necessitates cuts to other services	
Consequences		Cuts to frontline services, reputational damage to the Council, possible poor outcome of external inspection.	
Original Matrix		Original Rating Description	
	Original Impact	D	3
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	B	2
		Minor	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	2
		Minor	Target Likelihood
Latest Progress			Last Review Date
25-Jan-2012 Successful delivery of One-11 programme achieving savings of over £1 million. Going for Gold programme underway with significant efficiencies achieved to contribute to the target saving of £700k. Planning underway for meeting projected budget shortfall anticipated for 2013/14.			24-Sep-2011
			SMT Lead
			Paul Cresswell